

E T H A N *H a t h a w a y*
Presents

Operational Risk Management

How to be compliant for Basel II

25-28 April, 2006 ~ Hong Kong
16-19 October, 2006 ~ Singapore

Your Expert Course Presenter: Dennis Cox

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Operational Risk Management: How to be compliant for Basel II

Why should you attend this workshop?

This four day workshop is a **non-mathematical** course that concentrates on the key issues that arise from the Basel Accord and the practical solutions that can be applied to your organisation.

With the development of the Basel Accord there has been an increased focus on operational risk. The BIS have now set a date for the standardised and basic indicator approaches and only delayed the more advanced approach by a year.

This means that for institutions such as yours, the date is now set and your organisation need to move forward with a degree of urgency.

How do you benefit from this course?

The objectives of this course are to ensure that you fully understand all of the requirements in respect of the Basel Accord. The types of options available and solutions provided will be discussed and approaches recommended. We also link operational risk to risk management and explain where regulatory capital ends and where economic capital starts.

How does this apply to you?

Operational risk as it relates to complying with Basel II is NOT just a concern for the risk or operations management department of your bank. It affects ALL business line managers and department heads. In this age of accountability, can you afford to be non-compliant?

Most of all, how will this affect your bottom line?

Don't make the same mistakes

Go through case studies so you can see where and how these concepts were applied and used in real life (without having to use your own organisation as a testing lab).

Before you start costly implementations Basel II compliance controls, learn from what other banks have done and how it affected them.

Who should attend?

- * Head of Risk Management
- * Board directors
- * Head of Internal audit
- * Head of Compliance
- * Project managers
- * Business heads
- * Risk management professionals
- * Any professional who needs to know how operational risks affect their organisation

Course materials

You will receive comprehensive course notes and materials so that you may refer back to these materials for future reference.

Certificate of Completion

Upon completion of this course, you will receive a

prestigious Ethan Hathaway Certificate of Completion, a recognition of your accomplishment, skills and knowledge learned from this course.

Course Methodology

A combination of lectures, case studies and discussions

Your Course Presenter

Dennis Cox is CEO of Risk Reward Limited, a Strategy and Risk Consultancy for the financial services industry, as well as being a director of a number of other companies. He was formerly Director, Risk Management at HSBC Operational Risk Consultancy and Global Risk Director at Prudential Portfolio Managers Limited, having spent 12 years in practice with Arthur Young and BDO Binder Hamlyn. Among a range of external interests he is a senior Council member of the ICAEW, a member of the Professional Standards Board, Chairman of the Financial Planning Committee of the London Society and a member of the Money Laundering Committee; together with being the Chairman of the Risk Forum for the Securities and Investments Institute. He also represents the public interest in the regulation of the Institute of Actuaries for financial service matters.

MAS FSDF Subsidy

Singapore based participants may be eligible for Financial Sector Development Fund (FSDF) grant support on a case-by-case basis.

Please submit applications to the FSDF Secretariat directly. Details can be found at:
<http://www.mas.gov.sg>

SAVE MONEY

Early Bird Special Price

Register before **March 14th, 2006** for the Hong Kong course OR **September 4th, 2006** for the Singapore course and **save US\$370**.

Team Value Package - Save US\$370

Register 3 colleagues or more and save US\$370 per person

Early Bird Special Price and Team Value Package - Save US\$481

If you register before the Early Bird deadlines AND you have 3 colleagues or more, save US\$481 per person

What will you learn in this four day workshop?

- * What is operational risk?
- * Comparing industry risk profiles
- * The Basel Accord options (updated for new rules)
- * Lessons from major losses
- * Business continuity planning
- * Stage in building an operational risk strategy
- * Risk identification
- * Benefits of operational risk approaches
- * Operational risk policies
- * Operational risk tolerance
- * Operational risk structure
- * Legal and compliance
- * HR & operational risk
- * Operational risk ownership
- * Loss databases
- * Operational risk training
- * Investigating losses
- * Control and risk self assessment
- * Modelling operational processes
- * Measuring operational risk
- * Key mathematical techniques
- * Data collection
- * Expected and unexpected events
- * External events
- * Mitigating operational risk
- * Outsourcing (including new Basel paper)
- * Insurance
- * Regulatory capital calculation
- * Economic capital impact
- * Identifying key risks and controls
- * Key risk indicators
- * Scorecard approaches
- * IT and manual solutions

Can't Attend The Scheduled Dates?

You can run this course **in-house**. Here's how:

If you want to run this course internally or for your clients and you have a group of 7 or more, you can save yourself time and money.

Contact us for a no-obligation proposal: Email: support@ethanhathaway.com or Tel: +852 2273-4393 Fax: +852 3014-0721

Limited 25 Seats

This course is **limited to 25** seats only, to facilitate interaction between you and the trainer, so seek approval and register as soon as possible.

First come, first served. Book your seat today!

Your No-Risk Guarantee

We want you to come away from Ethan Hathaway courses with useful information, knowledge and the satisfaction of having chosen Ethan Hathaway.

If you don't find at least one piece of course information useful to you (after having carefully read the course details prior to registering), or the quality of the course is not up to an acceptable standard, please let us know and you may attend another one of our courses of your choosing for free (we know you're nice enough to give us a second chance) or we'll refund your full course fee back to you and the course materials are yours to keep.

Either way you're guaranteed to be happy with your experience.

Why Ethan Hathaway?

- * Carefully, hand-picked international experts
- * Not just experts, but experts who are passionate about their topics
- * They don't just speak to you, they explain and translate complex concepts into simple to understand concepts
- * It's not enough to just know about the theory, but what to do with that information
- * You will learn skills that affect the bottom line of your business
- * Gain international as well as regional insights giving you a well-rounded perspective

Yes! Register me for the **Operational Risk Management** course. Please choose a date:

- 25-28 April, 2006 – Hong Kong 16-19 October, 2006 – Singapore

Course Fee (Please choose one)

- Early Bird Special Price - Save US\$370**
Yes, I am eligible to save US\$370, I pay only US\$3328. I am registering before **March 14th, 2006** for the Hong Kong course OR **September 4th, 2006** for the Singapore course. I am aware that payment must be made within 10 working days of my registration to be eligible for this discount.
- Team Value Package - Save US\$370**
Yes, I am registering 3 colleagues or more, we are eligible for the team value package of US\$3328 per person
- Early Bird Special Price and Team Value Package - Save US\$481**
Yes, we are eligible for both the Early Bird and Team discounts, we pay only US\$3217 per person
- Regular Course Fee**
Yes, please register me at the regular course fee of US\$3698

Delegate Details (Please print in BLOCK letters)

1st Delegate	Full Name	Fees include: tuition, lunch, refreshments and course materials. Fees do not include hotel accommodation. Kindly contact your hotel directly for room booking. Company
	Job Title.....	
	Dept.....	
	Tel / Fax	
	Email.....	
	Address	
	City	
	State	
	Country	
	PostCode	
2nd Delegate	Full Name.....	How Did You Hear About the Course?.....
	Job Title.....	Contact Person:.....
	Dept.....	Contact Person details:.....
	Tel / Fax	I require an additional hardcopy invoice to be mailed to me <input type="checkbox"/>
	Email.....	(otherwise, invoices are usually sent by email or fax)
		I require an invitation letter for a travel VISA <input type="checkbox"/>
		I have special dietary requirements <input type="checkbox"/>
		Please list your dietary requirements
	
3rd Delegate	Full Name	
	Job Title.....	
	Dept	
	Tel / Fax	
	Email	

Methods of Payment

- Please choose your method of payment:
1. Telegraphic Transfer
Bank details will be provided with the invoice upon registration.
2. Crossed Cheque/Bank Draft
Payable to: Ethan Hathaway Co Ltd

* We reserve the right to turn away delegates who have not settled payment (or sent proof of payment) before the start of the course.

5 Quick & easy ways to register

Email: support@ethanhathaway.com
Web: www.ethanhathaway.com/register.php
Fax: +852 3014-0721
Tel: +852 2273-4393
Mail: 20/F, Central Tower, 28 Queen's Rd, Central, Hong Kong

Venue & Accommodation

Hong Kong: To Be Announced. Please contact us for venue details.

Singapore: Sheraton Hotel, Thirty-Nine Scotts Road, Singapore 228230, Tel: (65) 6737-6888 Fax: (65) 6737-1072 Email: sheraton.towers.singapore@sheraton.com

Hotel accommodation is not included in the fees. Kindly arrange accommodation with your hotel directly.

Data Privacy & Mailing Address

The information you provide will be safeguarded by Ethan Hathaway Co Ltd. We do not rent, sell or exchange your details to anyone without your consent. Occasionally, we send promotions on behalf of relevant 3rd party advertisers, tick here if you do not wish to receive these offers. In either case, your details are never given to outside parties. If you wish to update your details, please email: support@ethanhathaway.com with your OLD and NEW details. Please allow 2-3 weeks to see the changes take effect. Thank You.

Terms and Conditions

All cancellations must be received in writing 20 working days prior to the start of the course with acknowledgement from Ethan Hathaway. Course fees must therefore be paid in full if a cancellation occurs within 20 working days of the start of the course. We are always happy to welcome a replacement onto the course. Kindly send us written notification of your replacement by email, fax or telephone. Written cancellations received 20 working days or more before the start date of the course receive a full refund less a charge of US\$150. For any written cancellation requests that reach us less than 20 working days before the event, no refunds will be given. However, if you wish to attend another Ethan Hathaway course in the Asia-Pacific region, a 50% discount voucher which values not more than 50% of the initial payment will be issued. Please note that the subsequent course must take place within 12 months of the initial registration. Discount vouchers are transferable within the same organisation, but not to be used in conjunction with any other discount schemes. Discount vouchers will not be issued for no-shows without cancellation. Ethan Hathaway reserves the right to the final decision if any dispute arises.